Faculty Assembly’s Proposed Text Revisions to
Nielsen “603/604” Committee University Code Revisions

Code Section 602: Academic Tenure

(6) Institutional tenure policies and regulations shall distinguish among the following:

(a) the nonreappointment (or nonrenewal) of a faculty member at the expiration of a specified term of service;

(b) the discharge from employment of a faculty member with permanent tenure or of a faculty member appointed to a specified term of service before that term expires only for reasons of (a) incompetence, (b) neglect of duty, (c) unsatisfactory performance, including but not limited to multiple unsatisfactory post tenure reviews, or (d) misconduct of such a nature as to indicate that the individual is unfit to continue as a member of the faculty, as specified in Code Section 603.

...
Code Section 603: Due Process Before Discharge or Imposition of Other Serious Sanction

(1) A faculty member who is the beneficiary of institutional guarantees of tenure shall enjoy protection against unjust and arbitrary application of disciplinary penalties. During the period of such guarantees the faculty member may be discharged from employment, suspended, or demoted in rank only for reasons of

(a) incompetence, including significant, sustained unsatisfactory performance after the faculty member has been given an opportunity to remedy such performance and fails to do so within a reasonable time;

(b) neglect of duty including sustained failure to meet assigned classes or to perform other core faculty professional obligations, or

(c) misconduct of such a nature as to indicate that the individual is unfit to continue as a member of the faculty, including significant demonstrated violations of professional ethics, substantial mistreatment of students, significant research misconduct, willful financial fraud related to university duties, or demonstrated criminal conduct sufficiently related to a faculty member’s academic responsibilities as to disqualify the individual from effective performance of university duties.

An action to discharge a faculty member will ordinarily be used only in instances in which the faculty member’s conduct is so serious as to render the individual permanently unfit to continue as a member of the faculty. Lesser sanctions including suspension and demotion in rank might be used in other instances.

(5) If the faculty member makes a timely written request for a hearing, the chancellor shall ensure a process is in place so that the hearing is timely accorded before an elected standing committee of the institution’s faculty. The hearing shall be on the written specification of reasons for the intended discharge or imposition of a serious sanction. The hearing committee shall accord the faculty member 20 days from the time it receives the faculty member’s written request for a hearing to prepare a defense. The hearing committee may, upon the faculty member's written request and for good cause, extend this time by written notice to the faculty member. The hearing committee will ordinarily endeavor to complete the hearing within 90 calendar days except under unusual circumstances such as when a hearing request is received during winter or summer break and despite reasonable efforts the hearing committee cannot be assembled, or when additional fact-finding is required apart from the university discharge process.

(8) In reaching decisions on which its written recommendations to the chancellor shall be based, the committee shall consider only the evidence presented at the hearing and such written or oral arguments as the committee, in its discretion, may allow. The university has the burden of proof. In evaluating the evidence, the committee shall use the standard of “clear and convincing” evidence in determining whether the institution has met its burden of showing that permissible grounds for serious sanction exist and are the basis for the recommended action. The committee shall make its written recommendations to the chancellor within ten days after its hearing concludes or after the full transcript is received, whichever is later.
Code Section 604. Non-Reappointment of Tenure-Track Faculty Members

604B  Impermissible Reasons for Nonreappointment.

In no event shall a decision not to reappoint a faculty member be based upon (a) the exercise by the faculty member of rights guaranteed by the First Amendment to the United States Constitution, or by Article I of the North Carolina Constitution, or (b) the faculty member's race, color, sex, religion, creed, national origin, age, disability, or veteran's status or other forms of discrimination prohibited under regulations adopted by campus Boards of Trustees, or (c) personal malice. For purposes of this section, the term “personal malice” means dislike, animosity, ill-will or hatred based on personal characteristics, traits or circumstances of an individual.

604D. Review of Non-Reappointment Decisions  [Appeals and Grievances]

(2) Campus Based Review Appeal. Subject to limitations contained in this Code and the Policies of the Board of Governors, each constituent institution shall have a procedure whereby a tenure track faculty member may seek review of the decision of the constituent institution not to reappoint the faculty member. Such procedures shall at a minimum provide for the following:

(a) A reasonable time of no less than 14 calendar days within which after receiving the notice of non-reappointment, the faculty member may request review of the decision by the appropriate faculty committee and administrative officers. If the faculty member does not request review the notice of non-reappointment is final without recourse to any further review by faculty committees, the institution, or the Board of Governors.

(b) If the faculty member files a request for review in a timely fashion, timely files an appeal or grievance, the chancellor shall ensure a process is in place so that a hearing is timely accorded before an elected standing committee of the institution’s faculty.
Code Section 607. Faculty Grievance Committee for Constituent Institutions

(3) "Grievances" within the province of the committee's power shall include matters directly related to a faculty member's employment status and institutional relationships within the constituent institution, including matters related to post-tenure review. However, no grievance that grows out of or involves matters related to a formal proceeding for the suspension, discharge or termination of a faculty member, or that is within the jurisdiction of another standing faculty committee, may be considered by the committee.
New Code Section 610. Rights of Special Faculty Members.

(1) Faculty members who are appointed as visiting faculty members, adjunct faculty, lecturers, artists-in-residence, writers-in-residence or other special categories are regarded as “special faculty members” for purposes of the University Code.

(2) Special faculty members shall be appointed for a specified term of service, as set out in writing in the letter of appointment. The term of appointment of any special faculty member concludes at the end of the specified period set forth in the letter of appointment, and the letter of appointment constitutes full and timely notice that a new term will not be granted when that term expires. Special faculty members are not covered by Section 604 of the University Code and may not seek additional review of a decision by a constituent institution not to grant a new appointment at the end of a specified fixed term.

(3) During the term of their employment, special faculty members are entitled to seek recourse under Section 607 of the University Code (relating to faculty grievances). They are also entitled to protection under any other applicable policy or law.